

FY16 ALLOCATIONS - AZ

KEY	CNSRT NAME	STA	CDBG16	HOME16	HOPWA16	ESG16		
040018	Avondale City	AZ	\$574,039	\$0	\$0	\$0		
040054	Casa Grande	AZ	\$318,914	\$0	\$0	\$0		
040072	Chandler	AZ	\$1,283,590	\$0	\$0	\$0		
040114	Douglas city	AZ	\$165,853	\$0	\$0	\$0		
040144	Flagstaff	AZ	\$599,050	\$0	\$0	\$0		
040180	Gilbert	AZ	\$856,245	\$0	\$0	\$0		
040186	Glendale	AZ	\$2,200,786	\$0	\$0	\$189,758		
040270	Mesa	AZ	\$3,224,529	\$1,002,129	\$0	\$287,998		
040324	Peoria City	AZ	\$773,274	\$0	\$0	\$0		
040330	Phoenix	AZ	\$14,517,493	\$4,136,102	\$1,842,885	\$1,306,316		
040348	Prescott	AZ	\$233,755	\$0	\$0	\$0		
040384	Scottsdale	AZ	\$941,577	\$0	\$0	\$0		
040408	Sierra Vista city	AZ	\$218,415	\$0	\$0	\$0		
040456	Surprise City	AZ	\$585,139	\$0	\$0	\$0		
040468	Tempe	AZ	\$1,374,482	\$0	\$0	\$0		
040492	04C04 0	Tucson	AZ	\$5,031,253	\$2,532,186	\$456,639	\$460,731	\$8,480,809
040558	Yuma	AZ	\$750,576	\$0	\$0	\$0		
049013	04C03 Maricopa County	AZ	\$2,735,554	\$3,324,501	\$0	\$239,473		
049019	Pima County	AZ	\$2,613,490	\$0	\$0	\$233,372		
049999	Arizona Nonentitlement	AZ	\$10,578,395	\$4,804,047	\$239,786	\$1,685,724		

DOWN LOAD 6/3/16

	ADMIN	\$ 1,006,251.00	\$ 253,219.00	\$ 13,699.00	\$ 34,555.00
	PROJECT	\$ 4,025,002.00	\$ 2,278,967.00	\$ 442,940.00	\$ 426,176.00
		\$ 5,031,253.00	\$ 2,532,186.00	\$ 456,639.00	\$ 460,731.00
Decrease in CDBG					
Increase in HOME		\$0.00	\$0.00	\$0.00	\$0.00
Increase in HOPWA					
Increase in ESG	HUD2015	\$ 5,118,094.00	\$ 2,375,365.00	\$ 451,530.00	\$ 455,833.00
		\$ (86,841.00)	\$ 156,821.00	\$ 5,109.00	\$ 4,898.00
		-2%	6%	1%	1%

CR-45 CDBG**FY2017 CDBG PROJECTS COMPLETED AND UNDERWAY**

FY 2017 CAPER PROJECT TABLES - CDBG HUMAN/PUBLIC SERVICES - PR03 BOSMAC PR03C							
Agency	Project	IDIS #	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Outcomes (Households or Units)
Community Food Bank, Inc.	Community Food Bank Emergency Food Assistance	4650	2016	\$100,000.00	\$0	\$100,000.00	2038
Youth On Their Own	Youth On Their Own	4651	2016	\$40,000.00	\$0	\$40,000.00	141
Emerge! Center Against Domestic Abuse	Emerge! Domestic Violence Crisis Assistance	4652	2016	\$150,000.00	\$0	\$150,000.00	373
City of Tucson -HCD/PHA	Family Self Sufficiency Project	4653	2016	\$18,202.90	\$0	\$18,202.90	145
Our Family (aka New Beginnings)	New Beginnings/Our Family Crisis Shelter	4654	2016	\$60,000.00	\$0	\$60,000.00	136
Pima Council On Aging	Elderly Independence w/ CHRPA	4655	2016	\$30,500.00	\$0	\$30,500.00	84
The Primavera Foundation, Inc.	Emergency Services Relief & Referral (RR)/Men's Shelter	4656	2016	\$102,580.00	\$0	\$102,580.00	313
The Primavera Foundation, Inc.	Primavera Women's Services	4657	2016	\$50,000.00	\$0	\$50,000.00	94
Southern Arizona Children's Advocacy Center	Investigative/Intervention Services for Child Abuse Victims	4658	2016	\$80,000.00	\$0	\$80,000.00	105
Old Pueblo Community Services	Low-Demand Shelter	4661	2016	\$123,607.00	\$0	\$123,607.00	68
Total FY2017				\$754,889.90		\$754,889.90	3497

FY 2017 CAPER PROJECT TABLES - CDBG PUBLIC FACILITES P03 BOSMAC								
Agency	Project	IDIS #	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Finish Projects	Status
City of Tucson Parks & Recreation/with Historic Preservation	Fort Lowell Park-Adkins Site ADA Enhancements	4524	2012	\$49,473.10	\$31,440.17	\$18,032.93	2935	Completed
City of Tucson	Mohave Apts MF Housing Rehab Floors	4705	2014	\$134,337.00	\$0.00	\$27,269.40	0	Underway
City of Tucson/Transporation	HAWK Crosswalk at Old Nogales HWY/Olive	4493	2014	\$153,497.70	\$153,497.70	\$0.00	1	Completed
City of Tucson/Transporation	HAWK Crosswalk at Old Nogales HWY/Thoroughbred	4494	2014	\$244,972.88	\$244,972.88	\$0.00	1	Completed
City of Tucson Parks & Recreation	Estevan Park Improvements	4554	2014	\$287,999.13	\$19,876.62	\$268,122.51	1	Completed
TMM	TMM Desert Winds Transitional Hsg Upgrades	4684	2014	\$50,000.00	\$0.00	\$50,000.00	4	Completed
The Primavera Foundation, Inc.	Primavera Men's Shelter Upgrades Phase II	4510	2014	\$50,000.00	\$50,000.00	\$0.00	96	Completed
City of Tucson HCD-PHA	Stone Pointe Multi-family Housing Rehabilitation	4575	2014	\$375,000.00	\$28,818.57	\$160,334.65	0	Underway
City of Tucson Fire Department	TFD Station 1 - #8877	4669	2015	\$280,000.00	\$0.00	\$45,793.29	0	Underway
City of Tucson Fire Department	TFD Station 3 - #8878	4670	2015	\$280,000.00	\$0.00	\$45,843.86	0	Underway
City of Tucson Fire Department	TFD Station 4 - #8879	4671	2015	\$280,000.00	\$0.00	\$45,742.72	0	Underway
City of Tucson Fire Department	TFD Station 5 - #8880	4672	2015	\$280,000.00	\$0.00	\$45,793.26	0	Underway
Our Family Services	OFS- La Promesa Rehab	4609	2015	\$60,000.00	\$0.00	\$60,000.00	1	Completed
Old Pueblo Community Services	OPCS-SH&G 3rd & Dodge PH I	4610	2015	\$50,000.00	\$0.00	\$50,000.00	3	Completed
Catholic Community Services of AZ , Inc. dba Pio Decimo Center	CCS CASITAS UNITS SH&G	4615	2015	\$32,106.00	\$0.00	\$32,106.00	8	Completed

FY 2017 CAPER PROJECT TABLES - CDBG PUBLIC FACILITES P03 BOSMAC								
Agency	Project	IDIS #	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Finish Projects	Status
TMM Family Services, Inc	TMM-SH&G Desert Winds Phase II	4608	2016	\$50,000.00	\$0.00	\$50,000.00	4	Completed
Old Pueblo Community Services	OPCS-SH&G 3rd & Dodge PH II	4629	2016	\$50,000.00	\$0.00	\$50,000.00	2	Completed
Catholic Community Services of AZ , Inc. dba Pio Decimo Center	CCS CASITAS UNITS SH&G	4630	2016	\$45,720.00	\$0.00	\$45,720.00	12	Completed
City of Tucson Fire Department	TFD Station 8 - #8881	4673	2016	\$280,000.00	\$0.00	\$45,793.29	0	Underway
City of Tucson Fire Department	TFD Station 10 - #8882	4674	2016	\$280,000.00	\$0.00	\$45,793.28	0	Underway
City of Tucson Fire Department	TFD Station 14 - #8883	4675	2016	\$280,000.00	\$0.00	\$45,793.28	0	Underway
City of Tucson Fire Department	TFD Station 15 - #8884	4676	2016	\$280,000.00	\$0.00	\$45,793.27	0	Underway
City of Tucson Fire Department	TFD Station 22 - #8885	4677	2016	\$280,000.00	\$0.00	\$45,793.32	0	Underway
City of Tucson Fire Department	TFD Station 5A - #2666	4678	2016	\$120,000.00	\$0.00	\$68,810.74	0	Underway
City of Tucson Fire Department	TFD Station 8A - #2668	4679	2016	\$120,000.00	\$0.00	\$68,810.74	0	Underway
City of Tucson Fire Department	TFD Station 10A - #2669	4680	2016	\$120,000.00	\$0.00	\$68,767.49	0	Underway
City of Tucson Fire Department	TFD Station 14A - #2670	4681	2016	\$120,000.00	\$0.00	\$68,821.20	0	Underway
Total FY2017				\$4,633,105.81		\$1,498,935.23	3068	

FY 2017 CAPER PROJECT TABLES - CDBG HISTORIC PRESERVATION MATRIX CODE 16B P03 BOSMAC								
Agency	Project	IDIS #	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Finish Projects	Status
City of Tucson/HCD Historic	Sam Hughes Water Tower Restoration	4412	2012	\$99,950.00	\$8,000.00	\$91,950.00	1	Completed
City of Tucson/HCD Historic	Mirale Mile-Oracle- Drachman Historic Neon Sign Restoration Project	4506	2012	\$29,712.40	\$25,256.78	\$4,455.62	3	Completed
Total FY2017				\$129,662.40		\$96,405.62	4	

FY 2017 CAPER PROJECT TABLES - CDBG HOUSING MATRIX CODE 14A, 14H, 14I PRO3 BOSMAC								
Agency	Project	IDIS #	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Units Rehab IDIS PR10	Status
City of Tucson	HRC ENVIRONMENTAL Program Year 2013	4387	2013	\$180,000.00	\$78,665.22	\$39,425.35	344	Underway
City of Tucson	12/ELDERLY HOME REPAIR (2014)	4453	2014	\$92,948.84	\$92,948.84	\$0.00	8	Completed
City of Tucson	LEAD GRANT MATCH 2014	4471	2014	\$203,540.34	\$203,540.34	\$0.00	11	Completed
City of Tucson	CITY N&I 2014	4479	2014	\$394,471.43	\$389,366.43	\$5,105.00	38	Completed
City of Tucson	PHA Lead Base Paint Testing	4691	2014	\$120,000.00	\$0.00	\$82,175.00	0	Underway
City of Tucson	HRC ENVIRONMENTAL Program Year 2013	4719	2014	\$161,000.00	\$0.00	\$14,526.21	0	Underway
Community Home Repair Projects of Arizona (CHRP)	HRC- CHRPA City Rehab	4513	2015	\$145,680.00	\$145,680.00	\$0.00	63	Completed
Old Pueblo Community Services	HRC- Old Pueblo Community Services	4514	2015	\$87,540.00	\$87,540.00	\$0.00	6	Completed
DIRECT	HRC- DIRECT Home Access Program	4515	2015	\$114,453.37	\$114,453.37	\$0.00	22	Completed
Tucson Metropolitan Ministries Family	HRC-TMM Family Services	4516	2015	\$91,365.00	\$91,365.00	\$0.00	10	Completed
ChicanosPor La Casusa	HRC- Chicanos Por La Causa	4525	2015	\$110,358.66	\$110,358.66	\$0.00	15	Completed
Tucson Urban League	HRC- Tucson Urban League	4526	2015	\$217,501.52	\$217,501.52	\$0.00	21	Completed
City of Tucson	ELDERLY HOME REPAIR (2015)	4555	2015	\$59,725.80	\$38,607.80	\$21,118.00	13	Completed
City of Tucson	Program 2015	4576	2015	\$491,130.00	\$165,695.31	\$146,014.90	62	Underway

FY 2017 CAPER PROJECT TABLES - CDBG HOUSING MATRIX CODE 14A, 14H, 14I PRO3 BOSMAC								
Agency	Project	IDIS #	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Units Rehab IDIS PR10	Status
City of Tucson	City of Tucson Housing Rehab Administration 2015	4577	2015	\$327,420.00	\$55,219.79	\$100,526.35	0	Underway
ChicanosPor La Casusa	CPLCs Roof Replacement Program	4631	2016	\$44,198.51	\$0.00	\$44,198.51	5	Completed
Old Pueblo Community Services	OPCSs Sustainable Rehabilitation Program	4634	2016	\$43,770.00	\$0.00	\$43,770.00	2	Completed
Tucson Metropolitan Ministries Family	TMIMs Midtown Rehabilitation Program	4635	2016	\$42,904.54	\$0.00	\$42,904.54	5	Completed
Tucson Urban League	TULs City Home Repair Program	4636	2016	\$101,544.65	\$0.00	\$101,544.65	10	Completed
Community Home Repair Projects of Arizona (CHRA)	CHRAPAs City CDBG Rehabilitation Project	4632	2016	\$72,840.00	\$0.00	\$43,714.15	0	Underway
DIRECT	DIRECT's Home Access Program	4633	2016	\$85,960.00	\$0.00	\$45,522.06	0	Underway
Total FY2017				\$3,188,352.66		\$730,544.72	635	

FY 2017 CAPER PROJECT TABLES - CDBG MATRIX CODE 04, 08, 18C P03 BOSMAC								
Agency	Project	IDIS #	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Finish Projects	Status
City of Tucson	29/VANS Program Year 2013	4451	2013	\$277,879.05	\$269,316.02	\$8,563.03	24	Completed
Total FY2017				\$277,879.05		\$8,563.03		

FY 2017 CAPER PROJECT TABLES - CDBG PLANNING & ADMIN MATRIX CODE 20, 21A, 21D, 21H P03 BOSMAC								
Agency	Project	IDIS #	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Finish Projects	Status
City of Tucson	Fair Housing Activities	4659	2016	\$25,000.00	\$0.00	\$25,000.00	N/A	OPEN /Pending to be Closed/Completed
City of Tucson	CDBG Administration	4644	2016	\$990,264.73	\$0.00	\$712,228.77	N/A	OPEN /Pending to be Closed/Completed
Total FY2017				\$1,015,264.73		\$737,228.77		
TOTAL CDBD DRAW IN FY2017						\$3,826,567.27		

CR-50 HOME Program

FY2017 HOME PROJECTS COMPLETED AND UNDERWAY

FY 2017 CAPER HOME PROJECTS COMPLETED PR02 & PR22 Mix								
Agency/Project	Project Type	IDIS #	Funding Source	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Outcomes (Households or Units)
Compass Affordable Housing - Alvord Court Apt	Rental	4293	HOME	Pre-2015	\$1,099,822	\$1,068,309	\$31,512.62	8
Compass Affordable Housing - Downtown Motor Apt.	Rental	4553	HOME	Pre-2015; 2015 CR	\$612,088	\$612,088	\$0.00	4
Esperanza En Escalante Apartments	Rental	4606	HOME	Pre-2015	\$681,000	\$0	\$681,000.00	4
City of Tucson DPA 9816	Homebuyer	Various	HOME	Pre-2015	\$28,578.00	\$0	\$28,578.00	6
City of Tucson DPA 9816	Homebuyer	Various	HOME	2016	\$60,507.50	\$0	\$60,507.50	13
Pima County DPA	Homebuyer	Various	HOME	Pre-2015	\$158,948.00	\$0	\$158,948.00	34
Pima County DPA	Homebuyer	Various	HOME	2016	\$38,778.00	\$0	\$38,778.00	8
Pima County DPA HFHT Corazon Del Pueblo	Homebuyer	Various	HOME	Pre-2015	\$60,000.00	\$0	\$60,000.00	3
Total FY2017					\$2,739,721.50		\$1,059,324.12	80

FY2017 HOME PROJECTS COMPELTED AND UNDERWAY

FY 2017 CAPER HOME PROJECTS UNDERWAY BUT NOT COMPLETED IN FY2017 PR02 & PR22 Mix								
Agency/Project	Project Type	IDIS #	Funding Source	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Outcomes (Households or Units)
7TH Ave Commons	Rental	4683	HOME	Pre-2015	\$753,416.09	\$0	\$675,045.26	0
Miracle Point Apartments	Rental	4710	HOME	2015	\$405,120	\$0	\$6,926.10	0
OPCS Community Renewal Phase 2	Homebuyer	4455	HOME	Pre-2015	\$666,500	\$493,183	\$120,545.36	5
Pima County Community Land Trust	Rental	4592	HOME	Pre-2015	\$410,000	\$0	\$0.00	0
Stone Point Apartments	Rental	4607	HOME	Pre-2015	\$803,412	\$0	\$1,785.67	0
TMM Senior & Veterans Housing Project	Rental	4591	HOME	Pre-2015	\$708,000	\$0	\$0.00	0
West End Station	Rental	4718	HOME	2015	\$603,434	\$0	\$3,795.94	0
West Point Apartments - EN	Rental	4704	HOME	Pre-2015	\$16,679	\$0	\$0.00	0
West Point Apartments - EN	Rental	4704	HOME	2015	\$443,437	\$0	\$0.00	0
West Point Apartments - CR	Rental	4704	HOME	2016	\$379,828	\$0	\$253,235.92	0
Pima County DPA	Homebuyer	Various	HOME	2016	\$14,275	\$0	\$0.00	3
City of Tucson DPA 9816	Homebuyer	Various	HOME	2016	\$14,450	\$0	\$0.00	3
City of Tucson - TBRA	Tenant-Based Rental Assistance	4481	HOME	Pre-2015	\$220,000	\$67,635	\$86,479.33	338
Total FY2017					\$5,438,551.59		\$1,147,813.58	349

FY2017 HOME PROJECTS COMPELTED AND UNDERWAY

FY 2017 CAPER HOME PROJECTS ADMINISTRATION FY2017 PR02 & PR22 Mix								
Agency/Project	Project Type	IDIS #	Funding Source	Program Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY2017	Outcomes (Households or Units)
CITY OF TUCSON	ADMIN	4547	HOME	2015	\$229,317	\$104,686	\$124,630.53	N/A
CITY OF TUCSON	ADMIN	4625	HOME	2016	\$261,072	\$110,758	\$150,314.64	N/A
PIMA COUNTY	ADMIN	4626	HOME	2016	\$63,305	\$15,917	\$47,387.99	N/A
Total FY2017					\$553,694.16		\$322,333.16	

TOTAL HOME DRAW IN FY2017	\$2,529,470.86
---------------------------	----------------

Q5. HMIS DQ & Participation

5a. HMIS or Comparable

Database Data Quality Q5a

Data Element	Client Doesn't Know or Client Refused	Data not collected
First name	0	0
Last name	0	0
SSN	11	111
Date of Birth	0	1
Race	8	2
Ethnicity	1	1
Gender	0	1
Veteran Status	0	10
Disabling condition	1	13
Living situation (Head of Household and Adults)	0	37
Relationship to Head of Household	0	11
Destination	14	109
Client location for project entry	0	0

Q6. Persons Served

6a. Report Validations

Table Q6a

a. Total number of persons served	845
b. Number of adults (age 18 or over)	488
c. Number of children (under age 18)	356
d. Number of persons with unknown age	1
e. Total number of leavers	607
f. Number of adult leavers	349
g. Total number of stayers	238
h. Number of adult stayers	139
i. Number of veterans	40
j. Number of chronically homeless persons	98
k. Number of adult heads of household	404
l. Number of child heads of household	1
m. Number of unaccompanied youth under age 25	27
n. Number of parenting youth under age 25 with children	20

6b. Number of Persons Served

Q6b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Adults	488	288	200	0	0
b. Children	356	0	341	15	0
c. Don't know / refused	0	0	0	0	0
d. Information missing	1	0	0	0	1
e. Total	845	288	541	15	1

Q7a. Households Served

7a. Number of Households Served Q7a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
Total Households	405	258	146	1	0

7b. Point-in-Time Count of Households on the Last Wednesday Q7b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
January	116	82	33	1	0
April	121	79	42	0	0
July	111	77	34	0	0
October	98	63	34	1	0

Q9. Contacts and Engagements

9a. Number of Persons Contacted Q9a

	Total	a. First contact was at a place not meant for human habitation	b. First contact was at a non-residential service setting	c. First contact was at a residential service setting	d. First contact place was missing
a1. Contacted once?	23	5	11	0	7
a2. Contacted 2-5 times?	1	0	1	0	0
a3. Contacted 6-9 times?	0	0	0	0	0
a4. Contacted 10 or more times?	0	0	0	0	0
az. Total persons contacted	24	5	12	0	7

9b. Number of Persons Engaged Q9b

Combined Report

	Total	a. First contact was at a place not meant for human habitation	b. First contact was at a non-residential service setting	c. First contact was at a residential service setting	d. First contact place was missing
b1. Engaged after 1 contact?	10	1	2	0	7
b2. Engaged after 2-5 contacts?	1	0	1	0	0
b3. Engaged after 6-9 contacts?	0	0	0	0	0
b4. Engaged after 10 or more contacts?	0	0	0	0	0
bz. Total persons engaged	11	1	3	0	7
c. Rate of engagement (%)	46%	20%	25%	N/A	100%

Q10. Gender

10a. Gender of Adults Q10a

	Total	a. Without children	b. With children and adults	c. Unknown household type
a. Male	242	183	59	0
b. Female	243	102	141	0
c. Transgender male to female	1	1	0	0
d. Transgender female to male	2	2	0	0
e. Doesn't identify as male, female, or transgender	0	0	0	0
f. Don't know / refused	0	0	0	0
g. Information missing	0	0	0	0
h. Subtotal	488	288	200	0

10b. Gender of Children Q10b

Combined Report

	Total	a. With children and adults	b. With only children	c. Unknown household type
a. Male	175	168	7	0
b. Female	181	173	8	0
c. Transgender male to female	0	0	0	0
d. Transgender female to male	0	0	0	0
e. Doesn't identify as male, female, or transgender	0	0	0	0
f. Don't know / refused	0	0	0	0
g. Information missing	0	0	0	0
h. Subtotal	356	341	15	0

**10c. Gender of Persons
Missing Age Information** Q10c

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Male	0	0	0	0	0
b. Female	0	0	0	0	0
c. Transgender male to female	0	0	0	0	0
d. Transgender female to male	0	0	0	0	0
e. Doesn't identify as male, female, or transgender	0	0	0	0	0
f. Don't know / refused	0	0	0	0	0
g. Information missing	1	0	0	0	1
h. Subtotal	1	0	0	0	1

**10d. Gender by Age
Ranges** Q10d

Combined Report

	Total	a. Under age 18	b. Age 18-24	c. Age 25-61	d. Age 62 and over	e. Client Doesn't Know/Client Refused	f. Data not collected
a. Male	417	175	29	195	18	0	0
b. Female	434	181	38	194	11	0	0
c. Transgender male to female	1	0	1	0	0	0	0
d. Transgender female to male	2	0	0	2	0	0	0
e. Doesn't identify as male, female, or transgender	0	0	0	0	0	0	0
f. Don't know / refused	0	0	0	0	0	0	0
g. Information missing	1	0	0	0	0	0	1
h. Total	845	356	68	391	29	0	1

Q11. Age Q11

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Under 5	112	0	108	4	0
b. 5 - 12	166	0	160	6	0
c. 13 - 17	77	0	72	5	0
d. 18 - 24	68	28	40	0	0
e. 25 - 34	133	60	73	0	0
f. 35 - 44	111	50	61	0	0
g. 45 - 54	100	77	23	0	0
h. 55 - 61	48	45	3	0	0
i. 62+	29	28	1	0	0
j. Don't know / refused	0	0	0	0	0
k. Information missing	1	0	0	0	1
l. Total	845	288	541	15	1

Q12. Race & Ethnicity

12a. Race Q12a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. White	516	209	296	11	0
b. Black or African-American	134	37	94	3	0
c. Asian	5	0	5	0	0
d. American Indian or Alaska Native	81	14	67	0	0
e. Native Hawaiian or Other Pacific Islander	5	2	3	0	0
f. Multiple races	94	22	71	1	0
g. Don't know / refused	8	3	5	0	0
h. Information missing	2	1	0	0	1
i. Total	845	288	541	15	1

12b. Ethnicity Q12b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Non-Hispanic/non-Latino	507	216	285	6	0
b. Hispanic/Latino	336	72	255	9	0
c. Don't know / refused	1	0	1	0	0
d. Information missing	1	0	0	0	1
e. Total	845	288	541	15	1

Q13. Physical and Mental Health Conditions

13a1. Physical and Mental Health

Conditions at Entry

Q13a1

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	159	121	37	1	0
b. Alcohol abuse	24	24	0	0	0
c. Drug abuse	38	35	3	0	0
d. Both alcohol and drug abuse	61	58	3	0	0
e. Chronic health condition	72	55	17	0	0
f. HIV/AIDS and related diseases	1	1	0	0	0
g. Developmental disability	42	32	9	1	0
h. Physical disability	110	94	16	0	0

13b1. Physical and Mental Health

Conditions of Leavers

Q13b1

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	126	96	29	1	0
b. Alcohol abuse	17	17	0	0	0
c. Drug abuse	22	20	2	0	0
d. Both alcohol and drug abuse	47	44	3	0	0
e. Chronic health condition	51	39	12	0	0
f. HIV/AIDS and related diseases	1	1	0	0	0
g. Developmental disability	36	28	8	0	0
h. Physical disability	80	70	11	0	0

13c1. Physical and Mental Health

Conditions of Stayers

Q13c1

Combined Report

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	33	25	8	0	0
b. Alcohol abuse	7	7	0	0	0
c. Drug abuse	16	15	1	0	0
d. Both alcohol and drug abuse	14	14	0	0	0
e. Chronic health condition	0	0	0	0	0
f. HIV/AIDS and related diseases	0	0	0	0	0
g. Developmental disability	6	4	1	1	0
h. Physical disability	28	24	4	0	0

Q14. Domestic Violence

14a. Persons with Domestic Violence History

Q14a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Yes	122	64	58	0	0
b. No	351	209	141	1	0
c. Don't know / refused	2	1	1	0	0
d. Information missing	14	14	0	0	0
e. Total	489	288	200	1	0

14b. Persons Fleeing Domestic Violence

Q14b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Yes	70	32	38	0	0
b. No	42	22	20	0	0
c. Don't know / refused	0	0	0	0	0
d. Information missing	10	10	0	0	0
e. Total	122	64	58	0	0

Q15. Living Situation

Q15

Combined Report

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Homeless situations					
a1. Emergency shelter	102	59	43	0	0
a2. Transitional housing for homeless persons	15	5	10	0	0
a3. Place not meant for human habitation	138	114	24	0	0
a4. Safe haven	6	0	6	0	0
a5. Interim housing	16	1	15	0	0
az. Total	277	179	98	0	0
b. Institutional settings					
b1. Psychiatric facility	0	0	0	0	0
b2. Substance abuse or detox center	8	8	0	0	0
b3. Hospital (non-psychiatric)	4	3	1	0	0
b4. Jail, prison or juvenile detention	1	1	0	0	0
b5. Foster care home or foster care group home	0	0	0	0	0
b6. Long-term care facility or nursing home	0	0	0	0	0
b7. Residential project or halfway house with no homeless criteria	1	1	0	0	0
bz. Total	14	13	1	0	0

Combined Report

c. Other locations					
c01. PH for homeless persons	6	6	0	0	0
c02. Owned by client, no subsidy	1	0	1	0	0
c03. Owned by client, with subsidy	1	0	1	0	0
c04. Rental by client, no subsidy	45	25	20	0	0
c05. Rental by client, with VASH subsidy	0	0	0	0	0
c06. Rental by client, with GPD TIP subsidy	0	0	0	0	0
c07. Rental by client, with other subsidy	11	2	9	0	0
c08. Hotel or motel paid by client	17	9	8	0	0
c09. Staying or living with friend(s)	46	15	30	1	0
c10. Staying or living with family	29	12	17	0	0
c11. Don't know / refused	0	0	0	0	0
c12. Information missing	42	27	15	0	0
cz. Total	198	96	101	1	0
d. Total	489	288	200	1	0

Q20. Non-Cash Benefits

20a. Type of Non-Cash Benefit Sources Q20a

	At entry	At Latest Annual Assessment for Stayers	At Exit for Leavers
a. Supplemental Nutritional Assistance Program	397	2	303
b. WIC	12	0	10
c. TANF Child Care services	14	0	14
d. TANF transportation services	8	0	8
e. Other TANF-funded services	7	0	6
f. Other source	10	0	9

Q21. Health Insurance Q21

	At entry	At Latest Annual Assessment for Stayers	At Exit for Leavers
a. MEDICAID health insurance	639	1	484
b. MEDICARE health insurance	54	0	37
c. State Children's Health Insurance	41	0	32
d. VA Medical Services	20	0	18
e. Employer-provided health insurance	7	0	8
f. Health insurance through COBRA	2	0	3
g. Private pay health insurance	5	0	7
h. State Health Insurance for Adults	45	0	35
i. Indian Health Services Program	7	0	3
j. Other	6	0	3
k. No health insurance	30	0	37
l. Client doesn't know/Client refused	0	0	0
m. Data not collected	54	4	23
n. Number of adult stayers not yet required to have an annual assessment	0	217	0
o. 1 source of health insurance	626	1	453
p. More than 1 source of health insurance	89	0	74

Q22. Length of Participation

Q22a2. Length of Participation—ESG projects

Q22a2

	Total	Leavers	Stayers
a. 0 to 7 days	33	27	6
b. 8 to 14 days	29	11	18
c. 15 to 21 days	22	18	4
d. 22 to 30 days	27	15	12
e. 31 to 60 days	105	58	47
f. 61 to 90 days	139	84	55
g. 91 to 180 days	313	237	76
h. 181 to 365 days	149	135	14
i. 366 to 730 days (1-2 yrs.)	28	22	6
j. 731 to 1095 days (2-3 yrs.)	0	0	0
k. 1096 to 1460 days (3-4 yrs.)	0	0	0
l. 1461 to 1825 days (4-5 yrs.)	0	0	0
m. More than 1825 days (>5 yrs.)	0	0	0
n. Information missing	0	0	0
o. Total	845	607	238

Q22c. RRH Length of Time between Project Entry Date and Residential Move-in Date

Q22c

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. 0-7 days	24	0	24	0	0
b. 8-14 days	3	0	3	0	0
c. 15-21 days	11	0	11	0	0
d. 22 to 30 days	0	0	0	0	0
e. 31 to 60 days	39	0	39	0	0
f. 61 to 180 days	13	0	13	0	0
g. 181 to 365 days	0	0	0	0	0
h. 366 to 730 days (1-2 yrs.)	0	0	0	0	0
i. Data Not Collected	49	6	43	0	0
j. Total	139	6	133	0	0

Q22d. Length of Participation by Household type Q22d

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. 0 to 7 days	33	16	17	0	0
b. 8 to 14 days	29	10	19	0	0
c. 15 to 21 days	22	4	18	0	0
d. 22 to 30 days	27	11	16	0	0
e. 31 to 60 days	105	18	82	5	0
f. 61 to 90 days	139	38	101	0	0
g. 91 to 180 days	314	72	232	9	1
h. 181 to 365 days	149	113	35	1	0
i. 366 to 730 days (1-2 yrs.)	27	6	21	0	0
j. 731 to 1095 days (2-3 yrs.)	0	0	0	0	0
k. 1096 to 1460 days (3-4 yrs.)	0	0	0	0	0
l. 1461 to 1825 days (4-5 yrs.)	0	0	0	0	0
m. More than 1825 days (>5 yrs.)	0	0	0	0	0
n. Information missing	0	0	0	0	0
o. Total	845	288	541	15	1

Q23. Exit Destination –
More than 90 Days Q23

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Permanent destinations					
a01. Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
a02. Owned by client, no ongoing subsidy	1	0	1	0	0
a03. Owned by client, with ongoing subsidy	0	0	0	0	0
a04. Rental by client, no ongoing subsidy	39	4	35	0	0
a05. Rental by client, VASH subsidy	0	0	0	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	31	0	31	0	0
a08. Permanent housing for homeless persons	0	0	0	0	0
a09. Staying or living with family, permanent tenure	0	0	0	0	0
a10. Staying or living with friends, permanent tenure	0	0	0	0	0

Combined Report

az. Total	71	4	67	0	0
b. Temporary destinations					
b1. Emergency shelter	0	0	0	0	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	0	0	0	0	0
b4. Staying with family, temporary tenure	0	0	0	0	0
b5. Staying with friends, temporary tenure	0	0	0	0	0
b6. Place not meant for human habitation	0	0	0	0	0
b7. Safe Haven	0	0	0	0	0
b8. Hotel or motel paid by client	0	0	0	0	0
bz. Total	0	0	0	0	0
c. Institutional settings					
c1. Foster care home or group foster care home	0	0	0	0	0
c2. Psychiatric hospital or other psychiatric facility	0	0	0	0	0
c3. Substance abuse treatment facility or detox center	0	0	0	0	0
c4. Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
c5. Jail, prison or juvenile detention facility	0	0	0	0	0
c6. Long term care facility or nursing home	0	0	0	0	0

Combined Report

cz. Total	0	0	0	0	0
d. Other destinations					
d1. Residential project or halfway house with no homeless criteria	0	0	0	0	0
d2. Deceased	0	0	0	0	0
d3. Other	0	0	0	0	0
d4. Don't know / refused	0	0	0	0	0
d5. Information missing	0	0	0	0	0
dz. Total	0	0	0	0	0
e. Total	71	4	67	0	0

Q23a. Exit

Destination—All persons

Q23a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Permanent destinations					
a01. Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
a02. Owned by client, no ongoing subsidy	8	6	2	0	0
a03. Owned by client, with ongoing subsidy	0	0	0	0	0
a04. Rental by client, no ongoing subsidy	82	12	70	0	0
a05. Rental by client, VASH subsidy	4	0	4	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	133	26	107	0	0
a08. Permanent housing for homeless persons	36	15	21	0	0
a09. Staying or living with family, permanent tenure	11	0	11	0	0
a10. Staying or living with friends, permanent tenure	0	0	0	0	0

Combined Report

az. Total	274	59	215	0	0
b. Temporary destinations					
b1. Emergency shelter	30	11	19	0	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	6	2	4	0	0
b4. Staying with family, temporary tenure	40	5	35	0	0
b5. Staying with friends, temporary tenure	21	2	19	0	0
b6. Place not meant for human habitation	2	2	0	0	0
b7. Safe Haven	0	0	0	0	0
b8. Hotel or motel paid by client	16	0	16	0	0
bz. Total	115	22	93	0	0
c. Institutional settings					
c1. Foster care home or group foster care home	1	1	0	0	0
c2. Psychiatric hospital or other psychiatric facility	0	0	0	0	0
c3. Substance abuse treatment facility or detox center	0	0	0	0	0
c4. Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
c5. Jail, prison or juvenile detention facility	0	0	0	0	0
c6. Long term care facility or nursing home	1	1	0	0	0

Combined Report

cz. Total	2	2	0	0	0
d. Other destinations					
d1. Residential project or halfway house with no homeless criteria	0	0	0	0	0
d2. Deceased	0	0	0	0	0
d3. Other	1	1	0	0	0
d4. Don't know / refused	14	8	6	0	0
d5. Information missing	120	107	6	7	0
dz. Total	135	116	12	7	0
e. Total	526	199	320	7	0

**Q23b. Homeless
Prevention Housing
Assessment at Exit**

Q23b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Able to maintain the housing they had at project entry--Without a subsidy	0	0	0	0	0
b. Able to maintain the housing they had at project entry--With the subsidy they had at project entry	6	0	3	3	0
c. Able to maintain the housing they had at project entry--With an on-going subsidy acquired since project entry	18	5	13	0	0
d. Able to maintain the housing they had at project entry--Only with financial assistance other than a subsidy	2	2	0	0	0
e. Moved to new housing unit--With on-going subsidy	0	0	0	0	0
f. Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
g. Moved in with family/friends on a temporary basis	11	2	9	0	0
h. Moved in with family/friends on a permanent basis	0	0	0	0	0
i. Moved to a transitional or temporary housing facility or program	0	0	0	0	0
j. Client became homeless-moving to a shelter or other place unfit for human habitation	0	0	0	0	0

Combined Report

k. Client went to jail/prison	0	0	0	0	0
l. Client died	0	0	0	0	0
m. Client doesn't know/Client refused	3	0	3	0	0
n. Data not collected (no exit interview completed)	8	0	5	3	0
o. Total	48	9	33	6	0

Q24. Exit Destination –
90 Days or Less Q24

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Permanent destinations					
a01. Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
a02. Owned by client, no ongoing subsidy	0	0	0	0	0
a03. Owned by client, with ongoing subsidy	0	0	0	0	0
a04. Rental by client, no ongoing subsidy	0	0	0	0	0
a05. Rental by client, VASH subsidy	0	0	0	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	8	0	8	0	0
a08. Permanent housing for homeless persons	0	0	0	0	0
a09. Staying or living with family, permanent tenure	2	0	2	0	0
a10. Staying or living with friends, permanent tenure	0	0	0	0	0

Combined Report

az. Total	10	0	10	0	0
b. Temporary destinations					
b1. Emergency shelter	0	0	0	0	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	0	0	0	0	0
b4. Staying with family, temporary tenure	0	0	0	0	0
b5. Staying with friends, temporary tenure	0	0	0	0	0
b6. Place not meant for human habitation	0	0	0	0	0
b7. Safe Haven	0	0	0	0	0
b8. Hotel or motel paid by client	0	0	0	0	0
bz. Total	0	0	0	0	0
c. Institutional settings					
c1. Foster care home or group foster care home	0	0	0	0	0
c2. Psychiatric hospital or other psychiatric facility	0	0	0	0	0
c3. Substance abuse treatment facility or detox center	0	0	0	0	0
c4. Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
c5. Jail, prison or juvenile detention facility	0	0	0	0	0
c6. Long term care facility or nursing home	0	0	0	0	0

Combined Report

cz. Total	0	0	0	0	0
d. Other destinations					
d1. Residential project or halfway house with no homeless criteria	0	0	0	0	0
d2. Deceased	0	0	0	0	0
d3. Other	0	0	0	0	0
d4. Don't know / refused	0	0	0	0	0
d5. Information missing	0	0	0	0	0
dz. Total	0	0	0	0	0
e. Total	10	0	10	0	0

25a. Number of Veterans Q25a

	Total	a. Without children	b. With children and adults	c. Unknown household type
a. Chronically homeless veteran	14	14	0	0
b. Non-chronically homeless veteran	26	22	4	0
c. Not a veteran	436	243	193	0
d. Client Doesn't Know/Client Refused	2	2	0	0
e. Data Not Collected	10	7	3	0
f. Total	488	288	200	0

Q26b. Number of Chronically Homeless Persons by Household Q26b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Chronically homeless	98	95	2	1	0
b. Not chronically homeless	675	141	520	14	0
c. Client Doesn't Know/Client Refused	11	2	9	0	0
d. Data Not Collected	61	50	10	0	1



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

Revised 1/22/15

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

Table of Contents

PART 1: Grantee Executive Summary

1. Grantee Information
2. Project Sponsor Information
3. Administrative Subrecipient Information
4. Program Subrecipient Information
5. Grantee Narrative and Performance Assessment
 - a. Grantee and Community Overview
 - b. Annual Performance under the Action Plan
 - c. Barriers or Trends Overview
 - d. Assessment of Unmet Housing Needs

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

PART 3: Accomplishment Data: Planned Goals and Actual Outputs

PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number AZ-H14-F002 Carry Forward AZ-H15-F002 Carry Forward AZ-H16-F002	Operating Year for this report 07/01/16 to 06/30/17			
Grantee Name City of Tucson Housing and Community Development Department				
Business Address		310 N. Commerce Park Loop		
City, County, State, Zip		Tucson	Pima	Arizona 85745
Employer Identification Number (EIN) or Tax Identification Number (TIN)		86-6000266		
DUN & Bradstreet Number (DUNs):		072450869	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 1JJA2	
*Congressional District of Grantee's Business Address		N/A		
*Congressional District of Primary Service Area(s)		N/A		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: City of Tucson		Counties: Pima County
Organization's Website Address www.tucsonaz.gov/hcd		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name		Parent Company Name, if applicable	
City of Tucson Housing and Community Development Department, Housing Assistance Division		N/A	
Name and Title of Contact at Project Sponsor Agency	Leticia Carpio		
Email Address	leticia.carpio@tucsonaz.gov		
Business Address	310 N. Commerce Park Loop		
City, County, State, Zip,	Tucson, Pima, Arizona, 85745		
Phone Number (with area code)	520-837-5423		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	86-6000266		
DUN & Bradstreet Number (DUNs):	072450869		
Congressional District of Project Sponsor's Business Address	Congressional District 3		
Congressional District(s) of Primary Service Area(s)	Congressional Districts 2 & 3		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Tucson	Counties: Pima	
Total HOPWA contract amount for this Organization for the operating year	\$88,717.99 includes prior years carry forward		
Organization's Website Address	www.tucsonaz.gov/hcd		
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Southern Arizona AIDS Foundation		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency		Ricardo Fernandez	
Email Address		rfernandez@saaf.org	
Business Address		375 S. Euclid Ave.	
City, County, State, Zip,		Tucson, Pima, Arizona, 85719	
Phone Number (with area code)		520-628-7223	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		86-0864100	Fax Number (with area code) 520-628-7222
DUN & Bradstreet Number (DUNs):		197335730	
Congressional District of Project Sponsor's Business Address		Congressional District 3	
Congressional District(s) of Primary Service Area(s)		Congressional Districts 2 & 3	
City(ies) and County(ies) of Primary Service Area(s)		Cities: Tucson	Counties: Pima County
Total HOPWA contract amount for this Organization for the operating year		\$399,142	
Organization's Website Address		www.saaf.org	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	N/A			Parent Company Name, <i>if applicable</i>
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	N/A		Parent Company Name, if applicable	
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

In 2016-2017 the City of Tucson, as the grantee, contracted with the Southern Arizona AIDS Foundation (SAAF) as a project sponsor, to administer HOPWA Housing Subsidy Assistance in the form of Short-term Rent, Mortgage and Utility assistance (STRMU), Supportive Services (including case management and transportation), Emergency Shelter Vouchers (Short-term Supportive Facility) and Permanent Housing Placement Services (including move-in costs and housing prescreen assistance). The City of Tucson works through its Section 8 program as project sponsor to provide Tenant Based Rental Assistance (TBRA) with SAAF providing case management to those in the TBRA units. During 2016-2017 this HOPWA grant provided housing assistance to 126 unduplicated households and 159 total beneficiaries.

The project sponsor is the Southern Arizona AIDS Foundation (SAAF). SAAF is the result of a 1997 merger of the three primary AIDS service organizations in Tucson. The mission of the Southern Arizona AIDS Foundation is to create and sustain a healthier community through a compassionate, comprehensive response to HIV/AIDS. SAAF is a community-based organization in Southern Arizona providing case management, housing, and support services for people living with HIV/AIDS and their families; comprehensive prevention and education programs to reduce the rate of infection; and trainings and opportunities for community members to fill critical roles. SAAF is a member of the Pima County HIV/AIDS Consortium and the Tucson Pima Collaboration to end Homelessness, the Continuum of Care for Tucson and Pima County.

The area of service is the City of Tucson, Arizona, and also includes areas outside the city limits within Pima County, Arizona that are part of the Public Housing Authority.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

- 4 households benefited from tenant based, permanent supportive housing units
- 27 households received permanent housing placement assistance in the form of rental deposits and application fees. We had lesser need in this area because of an expansion in our housing programs in the past year. .
- 61 households benefited from HOPWA emergency shelter assistance (Short-term Supportive Facility) prior to securing more permanent housing assistance
- 43 households received assistance with HOPWA Short-term Rent, Mortgage and Utility assistance in order to maintain housing stability. We assisted fewer households for longer periods of time in the past year.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Increase or maintain housing stability for people living with HIV/AIDS

Tenant-based rental assistance

Four (4) households received tenant-based rental assistance through this contract. **100% of households served through TBRA remained stably housed at contract year end.**

Permanent housing placement services

18 households received assistance with permanent housing placement services including application fees and first month's rent and security deposits (not exceeding the equivalent of two months of rent costs) increasing housing stability. During this contract year we saw a decreased need for permanent housing placement assistance as we were able to expand transitional housing through a different funding source. We were also able to use Ryan White Part B funds to provide some placement services. All of these households (100%) were able to maintain their housing stability throughout the last project year either with the placement assistance alone or through a combination of permanent housing placement assistance and other services available through SAAF.

Short-term housing facilities

Sixty-one (61) households were assisted with emergency hotel vouchers. 60 of the 61 households (98%) were able to transition into transitional or permanent housing settings after exit from shelter and remain housed. One household was not able to remain stably housed.

Reduce the risk of homelessness for people living with HIV/AIDS

Short-term rent, mortgage and utility assistance

SAAF provided emergency short-term rent, mortgage, and utility assistance to 52 households. **80% of those receiving STRMU assistance are expected to maintain private housing without additional assistance or have moved into permanent supportive housing.** This is increased from last year as we were able to provide greater levels of assistance to increase individual household stability.

SAAF has continued to utilize the policy adopted in 2014 which limits clients to the HUD imposed maximum rather than a stricter standard previously in place that limited assistance to one time per year except in exceptional circumstances. This has allowed greater flexibility to support clients needing short and medium term assistance and not able to regain their housing stability with only a one-time payment. We also believe this has contributed to greater housing stability overall.

Increase or maintain access to medical care and support services for people living with HIV/AIDS

All clients receiving housing services through this contract also received comprehensive case management services. This includes an individual service plan with goals including maintaining stable housing and ensuring access to health care. Case managers work with clients to determine whether there is a need for more affordable housing or housing through a subsidized supportive housing program.

Clients have access to support services through SAAF, funded by HOPWA and non-HOPWA sources that provide the support necessary to access and maintain housing and medical care. These services include case management, transportation, peer counseling, health insurance and cost-sharing assistance, food programs, substance abuse services, complementary therapies, and support groups.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Both SAAF and the City of Tucson are members of the local Continuum of Care through the Tucson Pima Collaboration to End Homelessness. HOPWA-funded housing services are reported in the annual Continuum of Care application. SAAF and the City of Tucson also participate in the local HMIS and the HMIS Committee coordinated through the Tucson Pima Collaboration to End Homelessness.

Leveraged sources included in this report are funds from Ryan White Program Part B, SAAF community fundraising, and corporate and private foundations.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

The grantee and sponsors have utilized technical assistance resources including HUD Exchange and training webinars. SAAF staff attended the HOPWA Institute in Tampa, FL held in 2017. We do not currently have any technical assistance needs.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

We have had a few challenges with our short-term housing facilities which we provide through motel vouchers at local motels that contract with SAAF to provide emergency shelter. As we serve higher acuity clients for longer periods of time (sometimes nearing the 60 day limit) this has strained some of the motel provider relationships. We did lose one motel provider in the past year and had to find a replacement. We have been working to mitigate these issues by providing intensive support to clients in the motels and coordinating closely with the motel providers so we can continue to successfully implement this program component.

We have not experienced any other major challenges in this past project year.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

We received a large increase in funding from the Arizona Department of Health Services (ADHS) in 2015 which has enabled us to provide transitional housing to a large number of clients and increase STRMU and PHP assistance when HOPWA funds are all expended. This housing intervention’s availability has decreased overall numbers of persons accessing HOPWA funded STRMU and PHP assistance. However, while we are serving fewer persons these persons are generally receiving higher levels of assistance and assistance for longer periods of time to ensure greater housing stability tailored to individual household needs. This would not be possible without the increased available Ryan White Part B funds. Without those funds we would likely be serving more folks solely in HOPWA and for shorter periods of time.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

There are no studies or assessments available at this time.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area. **Note:** In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	100
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	80
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	0
• Assistance with rental costs	0
• Assistance with mortgage payments	0
• Assistance with utility costs.	0
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	20

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$200,000	Ryan White Case Management and Support Services for HOPWA clients	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Pima County Outside Agency	\$ 50,000	Food programs for HOPWA clients.	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	\$250,000		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	\$0
2.	Resident Rent Payments made directly to HOPWA Program	\$0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	\$0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	\$0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	\$0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	8	4	0	0	\$88,717.99	\$16,052.91
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)	65	61	0	0	\$66,000	\$66,129.39
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	150	43	0	0	\$90,000	\$89,986.40
5.	Permanent Housing Placement Services	50	27	0	0	\$15,000	\$15,868.45
6.	Adjustments for duplication (subtract)	73	9	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	200	126	0	0		
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 8 & 9)						
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	200	126			\$209,600	\$209,584.72
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.						
12.	Adjustment for duplication (subtract)	0	0				
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)		126				
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services						
15.	Total Housing Information Services						

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$22,006.45	\$13,523.53
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$33,975.46	\$33,784.54
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)						
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$525,299.90	\$444,929.94

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	0	0
3.	Case management	126	\$149,594.83
4.	Child care and other child services	0	0
5.	Education	0	0
6.	Employment assistance and training	0	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	0
8.	Legal services	0	0
9.	Life skills management (outside of case management)	0	0
10.	Meals/nutritional services	0	0
11.	Mental health services	0	0
12.	Outreach	0	0
13.	Transportation	126	\$59,989.89
14.	Other Activity (if approved in grant agreement). Specify:	0	0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	252	
16.	Adjustment for Duplication (subtract)	126	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	126	\$209,584.72

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	43	\$89,986.40
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	1	\$2,919.83
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	2	\$5,456.33
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	22	\$37,574.25
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	12	\$36,867.52
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	7	\$7,168.48
g.	Direct program delivery costs (e.g., program operations staff time)		\$40,000

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	4	4	1 Emergency Shelter/Streets	N/A	<i>Unstable Arrangements</i>
			2 Temporary Housing	N/A	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	N/A	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	N/A	
			5 Other Subsidy	N/A	
			6 Institution	N/A	
			7 Jail/Prison	N/A	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	N/A	
			9 Death	N/A	
Permanent Supportive Housing Facilities/ Units	N/A	N/A	1 Emergency Shelter/Streets	N/A	<i>Unstable Arrangements</i>
			2 Temporary Housing	N/A	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	N/A	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	N/A	
			5 Other Subsidy	N/A	
			6 Institution	N/A	
			7 Jail/Prison	N/A	<i>Unstable Arrangements</i>
			8 Disconnected/Unknown	N/A	
			9 Death	N/A	

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	61	8	1 Emergency Shelter/Streets	5	<i>Unstable Arrangements</i>
			2 Temporary Housing	7	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	0	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA	0	
			5 Other Subsidy	46	
			6 Institution	1	
			7 Jail/Prison	0	<i>Unstable Arrangements</i>
			8 Disconnected/unknown	2	
			9 Death	0	

B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
--	---

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
43	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	26	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	0	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	0	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	16	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	1	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
Death	0	<i>Life Event</i>	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			12
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			26

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	126
b. Case Management	126
c. Adjustment for duplication (subtraction)	126
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	126
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	0
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	0

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	126	N/A	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	126	N/A	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	124	N/A	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	124	N/A	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	124	N/A	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
--	--	--

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
---	--	--

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	6	N/A

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes
Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

N/A – No HOPWA funds were used for Facility-Based Stewardship Units

1. General information

HUD Grant Number(s) N/A	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	126

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. <u>Continuing</u> to receive HOPWA support from the prior operating year	0
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	13
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	13
4. Transitional housing for homeless persons	4
5. Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	30
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	17
7. Psychiatric hospital or other psychiatric facility	2
8. Substance abuse treatment facility or detox center	0
9. Hospital (non-psychiatric facility)	2
10. Foster care home or foster care group home	0
11. Jail, prison or juvenile detention facility	1
12. Rented room, apartment, or house	65
13. House you own	5
14. Staying or living in someone else’s (family and friends) room, apartment, or house	2
15. Hotel or motel paid for without emergency shelter voucher	2
16. Other	0
17. Don’t Know or Refused	0
18. TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	96

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	4	8

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	126
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	23
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	10
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	159

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	12	2	0	0	14
3.	31 to 50 years	54	9	0	0	63
4.	51 years and Older	42	6	1	0	49
5.	Subtotal (Sum of Rows 1-4)	108	17	1	0	126
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	3	2	0	0	5
7.	18 to 30 years	1	4	1	0	6
8.	31 to 50 years	6	3	0	0	9
9.	51 years and Older	3	9	1	0	13
10.	Subtotal (Sum of Rows 6-9)	33	18	2	0	33
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	121	35	3	0	159

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	4	2	1	0
2.	Asian	0	0	0	0
3.	Black/African American	22	1	3	0
4.	Native Hawaiian/Other Pacific Islander	1	0	0	0
5.	White	68	27	22	4
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	1	0	3	0
11.	Column Totals (Sum of Rows 1-10)	96	30	29	4
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.</i>					

**Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)*

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	87
2.	31-50% of area median income (very low)	25
3.	51-80% of area median income (low)	14
4.	Total (Sum of Rows 1-3)	126

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

N/A – No HOPWA funds used for capital development

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = _____ Total Units = _____
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		